



Application Form ETF Tax Free Investment Account

(Issued under Section 12T of the Income Tax Act)

A) HOW TO INVEST

1. Read the Terms and Conditions of this ETF Tax Free Investment Account (attached hereto).
2. Choose between the investment options offered for the Tax Free Investment Account, to meet your investment objectives – details in Section E and Annexure A of this Application form.
3. Please complete all relevant sections of this form, and send pages 1-4 together with the required documents to etfSA: email TaxFree@etfSA.co.za or fax to 086 692 4543. Alternatively, this form may be delivered by hand or post to the contact details in the Terms and Conditions. Please note that a fee may be levied for validation of information sent via fax or email. Refer section F below. Queries may be referred to etfSA on 010 446 0371.
4. **Identification Documentation:** In terms of the Financial Intelligence Centre Act, 2001 (FICA) and Strate requirements, the Administrator needs a copy of each of the following documents:

Proof of Identity
ID Document or Passport containing a photo, full names, date of birth and ID number

Proof of Residential Address
Document, less than 3 months old, containing residential address

Proof of Banking Details
Bank statement, less than 3 months old, with your name and address

5. **Lump sum investments** will only be processed upon proof of deposit of funds into the relevant bank account:

Account Name	Account Number	Bank	Account Type	Branch Code
Computershare Nominees (Pty) Ltd ETFIA	282 474 110	Standard Bank	Current	051 001

Clear copy of Proof of Deposit if investing lump sum amount
Please use the ID Number of the Investor as reference

B) INVESTOR INFORMATION

Title Surname

First name(s)

Date of Birth Nationality

ID Number or Passport (if no RSA ID)

Tax Number

Country of Residence

Physical Address Postal Code

Postal Address Postal Code

Contact Number Mobile Number

Email Address

Please specify your preferred method of receiving correspondence* E-mail Post

*Where no selection is made correspondence will be sent to the e-mail address supplied above. If no e-mail address is supplied, correspondence will be sent via post at your cost.

Politically Exposed Person

A Politically Exposed Person or PEP is a term describing someone who has been entrusted with a prominent public function, or an individual who is closely related to such a person.

I consider myself to be, or to be associated with a Politically Exposed Person

Yes No

I undertake to forthwith inform the Administrator in writing should the circumstances in the declaration above change.

C) ACTING ON BEHALF OF INVESTOR *

* This is for Guardians of minors or persons with Powers of Attorney

Title Surname

First Name(s) Male Female

Date of Birth Nationality

ID Number or Passport (if no RSA ID)

Country of Residence

Physical Address Postal Code

Postal Address Postal Code

Contact Number Mobile Number

Email Address

Capacity

D) BANKING DETAILS OF INVESTOR

Name of Account Holder

Name of Bank

Branch Name Branch Code

Account Number Account Type

If debit order bank details differ from the investor bank details, complete and sign the below:

Name of Account Holder

Name of Bank

Branch Name Branch Code

Account Number Account Type

Debit order authority

1. I hereby request, instruct and authorise Computershare (Pty) Ltd to draw against my account with the bank noted above
2. I understand that all such withdrawals from my bank account shall be treated as though they have been signed by me personally
3. I agree to pay any bank charges and costs relating to the debit order authority, including debit order rejection fees
4. I acknowledge that I may cancel this authority by giving the Administrators not less than 10 business days' written notice
5. I agree that receipt of this instruction by the Administrator shall be regarded as receipt thereof by my bank
6. I acknowledge that in order to activate the debit order, the Administrator must receive the debit order authority at least 10 business days prior to the first debit order date

PLEASE NOTE THAT THE DEBIT ORDER INSTRUCTION WILL ONLY BE ACCEPTED UPON SIGNING OF THIS AUTHORITY BY THE BANK ACCOUNT HOLDER

Signature of Account Holder _____

- A bank statement must be attached as proof of banking details.
- The account holder must have a South African bank account.
- The onus is on the Investor to inform the Administrator of any changes to the bank account details.
- No payments will be made into third party bank accounts or credit cards (i.e. payments will only be made to the bank account in the name of the registered Investor).

E) INVESTMENT OPTIONS

Source of Funds Salary Transfer Donation Investment Inheritance Other

Minimum investment: R1000 per Portfolio or R300 per ETF

Portfolio / ETF

ETF Tax Free **Income** Portfolio

ETF Tax Free **Balanced** Portfolio

ETF Tax Free **Equity** Portfolio

ETF Tax Free **International** Portfolio

Select-Your-Own ETF	JSE Code:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Select-Your-Own ETF	JSE Code:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Select-Your-Own ETF	JSE Code:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Recurring Payment (R)			
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Lump Sum (R)			
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Recurring Payment Option (if applicable) Computershare will collect from my elected bank account. A debit order collection fee applies
 I will set up a recurring/scheduled payment at my bank to credit Computershare regularly

Debit Order Date (if applicable) 25th 3rd

For the different ETFs available for selection (including JSE codes), refer to Annexure A.

For more information on the 4 portfolios, please visit http://www.etfsa.co.za/tax_free.htm for relevant fact sheets.

F) FEES & CHARGES

Type of Fee	Rate per tax year
Portfolio Management and Advice Fees	0,5% (incl. VAT)
Administration and Custodian Fees	0,5% (incl. VAT)

All investment transaction costs and related charges are included in these fees. Should you choose to make a withdrawal or transfer from this account, the transaction costs and related charges will be deducted from the amount paid out.

G) AUTHORISATION AND DECLARATION

1. I have read and understood all the pages of this Application form and agree to the Terms & Conditions of this investment into the ETF Tax Free Investment Account.
2. I understand that this Application and any other further documents read with the Application constitute the entire Application between the Administrator and myself.
3. I warrant that the information contained herein is true and correct and that when this Application form is signed in a representative capacity, I have the necessary authority to do so and that the transaction is within my power.
4. The maximum investment in the ETF Tax Free Investment Account is R36 000 per individual in any one tax year and R500 000 over the life of an individual, or as amended by law from time to time. Over time, the balance in the Tax Free Investment Account may exceed the R500 000 limit, due to accumulated earnings and capital gains.
5. I acknowledge that the Administrator cannot accept contribution amounts in excess of these amounts and lifetime limits. I also acknowledge my responsibility to ensure that I adhere to these annual amounts or lifetime limits, or else face penalties for breaching these limits.
6. I accept that withdrawals can be made from the Tax Free Investment Account at any time, but such accumulated savings cannot be replaced.
7. I accept that investments are traded on a weekly basis in an effort to reduce costs. The Administrator will apply interest to my cash funds in return for any prejudice that might occur due to market movement.
8. I understand and agree that no part of the services provided by the Administrator and portfolio manager constitute a solicitation, recommendation, guidance or proposal, nor does it constitute financial, tax, legal, investment nor any other advice. I warrant to

ANNEXURE A

Exchange Traded Funds (ETFs) that qualify for Tax Free Investment Accounts

(should you not wish to use our ETF Tax Free Portfolios)

ETFs that are not registered as Collective Investment Schemes (unit trusts) with the FSCA may not be used in tax free accounts. There are currently 68 ETFs listed on the JSE that qualify for investments in tax free accounts.

CIS Compliant ETFs					
JSE Share Code	ETF Name	ETF Provider	JSE Share Code	ETF Name	ETF Provider
Domestic (SA) Equities					
Broad-based large cap					
ETFT40	Invest Top 40	Invest	STX40	Satrix 40	Satrix
ETF5WX	Invest SWIX Top 40	Invest	STXSWX	Satrix SWIX 40	Satrix
ASHT40	Ashburton Top 40	Ashburton	STXRAF	Satrix RAFI 40	Satrix
CTOP50	CoreShares Top50	CoreShares	SYGT40	Sygnia Itrix Top 40	Sygnia Itrix
NFSH40	NewFunds Shari'ah Top 40	ABSA	SYGSW4	Sygnia Itrix SWIX 40	Sygnia Itrix
GIVISA	NewFunds S&P GIVI Top 50	ABSA			
Sectors					
ASHMID	Ashburton MidCap	Ashburton	STXIND	Satrix INDI 25	Satrix
STXFIN	Satrix FINI 15	Satrix	STXRES	Satrix RESI 10	Satrix
Style / Factors / 'Smart Beta'					
DIVTRX	CoreShares Dividend Aristocrats	CoreShares	NFEVAL	NewFunds Value Equity	ABSA
SMART	CoreShares Sci. Beta Multi Factor	CoreShares	NFEDEF	NewFunds Vol. Man. Def. Equity	ABSA
NFEMOM	NewFunds Equity Momentum	ABSA	STXDIV	Satrix Dividend Plus	Satrix
NFEHGE	NewFunds Vol. Man. High Growth Equity	ABSA	STXMMT	Satrix Momentum	Satrix
NFEMOD	NewFunds Vol. Man. Mod. Equity	ABSA	STXQUA	Satrix Quality South Africa	Satrix
NFEVOL	NewFunds Low Volatility	ABSA			
Domestic (SA) Interest Bearing					
ETFBND	Invest SA Govt Bond	Invest	NFILBI	NewFunds ILBI	ABSA
ASHINF	Ashburton Government Inflation	Ashburton	NFTRCI	NewFunds TRACI 3month	ABSA
PREFTX	CoreShares PrefTrax	CoreShares	STXILB	Satrix Inflation-Linked Bonds	Satrix
NFGOVI	NewFunds GOVI	ABSA	STXGOV	Satrix SA Bond	Satrix
Domestic (SA) Listed Property					
ETF5AP	Invest SA Property	Invest	STXPRO	Satrix Property	Satrix
CSPROP	CoreShares SA Property Income	CoreShares			
Domestic (SA) Multi Assets					
MAPPSG	NewFunds MAPPS Growth	ABSA	MAPPSP	NewFunds MAPPS Protect	ABSA
International Listed Bonds					
ETF5GB	Invest Global Government Bond	Invest	NFNAMB	NewFunds S&P Namibian Bond	ABSA
ASHWGB	Ashburton World Government	Ashburton	STXGBD	Satrix Global Aggregate Bond	Satrix
International Listed Property					
ETFGRE	Invest Global REIT	Invest	GLPROP	CoreShares S&P Global Property	CoreShares
AMIRE	AMI Real Estate ex-SA	Cloud Atlas	SYGP	Sygnia Itrix Global Property	Sygnia Itrix
International Equities					
ETF500	Invest S&P 500	Invest	STXWDM	Satrix MSCI World	Satrix
ETF5IT	Invest S&P 500 Info Tech	Invest	STXNDQ	Satrix Nasdaq 100	Satrix
ETF5WL	Invest MSCI World	Invest	STX500	Satrix S&P 500	Satrix
AMIB50	AMI Big50 ex-SA	Cloud Atlas	SYG4IR	Sygnia Itrix 4th Industrial Revol.	Sygnia
ASHGEQ	Ashburton Global 1200	Ashburton	SYGEU	Sygnia Itrix Eurostoxx 50	Sygnia Itrix
CSP500	CoreShares S&P 500	CoreShares	SYGUK	Sygnia Itrix FTSE 100	Sygnia Itrix
GLODIV	CoreShares Global Dividend	CoreShares	SYGJP	Sygnia Itrix MSCI Japan	Sygnia Itrix
STXCHN	Satrix MSCI China	Satrix	SYGUS	Sygnia Itrix MSCI USA	Sygnia Itrix
STXEMG	Satrix MSCI Emerging Markets	Satrix	SYGWD	Sygnia Itrix MSCI World	Sygnia Itrix
STXEME	Satrix MSCI EM ESG Enhanced	Satrix	SYG500	Sygnia Itrix S&P 500	Sygnia Itrix
STXESG	Satrix MSCI World ESG Enhanced	Satrix			